



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/767,421	01/22/2001	Michael J. Shamblott	PM 272065

CONFIRMATION NO. 9551

FORMALITIES LETTER



OC000000005921657

Pillsbury Winthrop LLP
Intellectual Property Group
Ninth Floor
1100 New York Avenue, N.W.
Washington, DC 20005-3918

Adjustment date: 03/14/2002 EEKUBAY1
10/04/2001 NMOHAMM1 00000007 501355 09767421
01 FC:101 -740.00 OP
02 FC:103 -234.00 OP
03 FC:102 -252.00 OP
04 FC:105 -130.00 OP

Date Mailed: 03/30/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$474.

03/14/2002 EEKUBAY1 00000007 09767421	
01 FC:201	370.00 OP
02 FC:203	117.00 OP
03 FC:202	126.00 OP
04 FC:205	65.00 OP

 - \$234 for 13 total claims over 20.
 - \$240 for 3 independent claims over 3.
- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1314.

The application is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- A substitute specification in compliance with 37 CFR 1.52 because:
 - Papers contain improper margins. *Each sheet must have a left margin of at least 2.5 cm (1") and top, bottom and right margins of at least 2.0 cm (3/4")*

Repln. Ref: 03/14/2002 EEKUBAY1 0013251700
DA# 501355 Name/Number: 09767421
FC: 704 \$1306.00 CR

09767421-100101



\$\$ sector

PATENT
Attorney Docket No.: JHU1750-1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Shamblott and Gearhart Art Unit: Unassigned
Application No.: 09/767,421 Examiner: Unassigned
Filed: January 22, 2001
Title: HUMAN EMBRYOID BODY-DERIVED CELLS

BOX MISSING PARTS
Commissioner for Patents
Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS

Sir:

In response to the Notice to File Missing Parts of Application mailed March 30, 2001,
enclosed are:

1. A copy of the Notice to File Missing Parts of Application (1 page);
2. An executed Declaration For Patent Application (3 pages);
3. Substitute Specification in compliance with 37 CFR 1.52 (74 pages);
4. Petition for Extension of Time (2 pages);
5. Change of Attorney Address (1 page);
6. Check in the amount of \$2,704.00 (\$1,314.00 Missing Parts Fee;
\$1,390.00 extension of time fee); and
7. Return Receipt Postcard.

CERTIFICATION UNDER 37 CFR §1.8

I hereby certify that the documents referred to as enclosed herein are
being deposited with the United States Postal Service as first class
mail on September 28, 2001, in an envelope addressed to: Box
Missing Parts, Commissioner for Patents, Washington, D.C. 20231

Karen LePari
Karen LePari

In re Application of:

Shamblott and Gearhart

Application No.: 09/767,421

Filing Date: January 22, 2001

Page 2



PATENT

Atty Docket No.: JHU1750-1

XX Enclosed is a check in the total amount of \$2,704.00 which includes \$1,390.00 as four month extension of time fee and \$1,314.00 as payment for the missing requirements of the application. The Commissioner is hereby authorized to charge any other fees that may be associated with this communication, or credit any overpayment to Deposit Account No. 50-1355. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

Date: September 28, 2001

Lisa A. Haile, J.D., Ph.D.

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